



The Complexity Threshold Index

Please indicate your tolerance for complexity.
 *** *Very high indicates very high tolerance.*

Client Name: _____
 Date Completed: _____

None	Low	Average	High	Very High						
1	2	3	4	5	Written Reports					
1	2	3	4	5	Frequent Meetings					
1	2	3	4	5	Detailed Presentations					
1	2	3	4	5	Multiple Tax Returns					
1	2	3	4	5	Multiple Strategies					
1	2	3	4	5	Abstract Discussion					
1	2	3	4	5	Numerical Analysis					
1	2	3	4	5	Multiple Advisors					
1	2	3	4	5	Irrevocable Decisions					
1	2	3	4	5	Multiple Generation Planning					
<table border="1" style="width: 100%; height: 30px;"> <tr> <td style="width: 15%;"></td> <td style="width: 15%;"></td> <td style="width: 15%;"></td> <td style="width: 15%;"></td> <td style="width: 15%;"></td> </tr> </table>										Total Score _____

- Written Reports – What is your threshold for reading and reviewing written reports?
- Frequent Meetings – What is your threshold for multiple meetings?
- Detailed Presentations – What is your threshold for listening to and reviewing detailed presentations?
- Multiple Tax Returns – What is your threshold for additional tax returns?
- Multiple Strategies – What is your threshold for multiple strategies?
- Abstract Discussions – What is your threshold for dealing with abstract concepts and discussions?
- Numerical Analysis – What is your threshold for dealing with numerical analysis?
- Multiple Advisors – What is your tolerance for working with multiple advisors?
- Irrevocable Decisions – What is your threshold for making decisions that you cannot change?
- Multiple Generation Planning – What is your threshold for planning over multiple generations, i.e., children, grandchildren?



The Strategy Risk Tolerance Index

Please indicate your tolerance for the following strategy risk factors.

*** *Very high indicates very high tolerance.*

Client Name: _____

Date Completed: _____

None	Low	Average	High	Very High						
1	2	3	4	5	Lack of Historical Performance					
1	2	3	4	5	Liquidity Risk					
1	2	3	4	5	Opportunity Cost					
1	2	3	4	5	Irrevocability					
1	2	3	4	5	Loss of Capital					
1	2	3	4	5	Loss of Privacy					
1	2	3	4	5	Audit					
1	2	3	4	5	Public Embarrassment					
1	2	3	4	5	Strategy Disqualification					
1	2	3	4	5	Civil Litigation					
<table border="1" style="width: 100%; height: 30px;"> <tr> <td style="width: 20%;"></td> <td style="width: 20%;"></td> <td style="width: 20%;"></td> <td style="width: 20%;"></td> <td style="width: 20%;"></td> </tr> </table>										Total Score

Lack of Historical Performance – The risk associated with a strategy that is relatively new and, therefore, has no historical performance to factor into your choice.

Liquidity Risk – The risk associated with strategies where your capacity to withdraw from the strategy is limited due to the nature of strategy.

Opportunity Penalties – The risk associated with missing a new opportunity, because your financial resources are tied up in another strategy.

Irrevocability – The risk associated with making a decision that is permanent and cannot be changed.

Loss of Capital – The risk that you may lose all or a portion of the capital that you commit to the strategy.

Loss of Privacy – The risk that your involvement with a particular strategy may call attention to you.

Audit – The risk that your involvement with a particular strategy will increase the likelihood of a tax audit.

Public Embarrassment – The risk that a particular strategy, and your involvement with that strategy, will gain negative public exposure.

Strategy Disqualification – The risk that a particular strategy, after it has been implemented, may later be determined as ineffective in accomplishing the desired objective.

Civil Litigation – The risk that a particular strategy may increase the possibility of being sued in civil court.